

# HODGES



## CAPITAL

2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

### **Craig D. Hodges**

2905 Maple Ave.  
Dallas, TX 75201  
888-878-4426  
CRD # 1714289

**March 12, 2020**

This Brochure Supplement provides information about Craig D. Hodges that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Craig D. Hodges is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Craig D. Hodges, born 1963, graduated in 1986 from Baylor University in Waco, TX, where he received his Bachelor of Business Administration ("B.B.A") degree in Finance & Marketing.

### **Professional Licenses:**

Craig D. Hodges does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	October 1999	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	October 1998	Current	Chairman & Investment Advisor Representative
First Dallas Securities, Inc.	November 1989	Current	Chairman, CEO, Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Craig D. Hodges does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual investment advisor representatives, investment advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Craig D. Hodges is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may results in a commission being paid.

Craig D. Hodges is also an Investment Advisor Representative for First Dallas Securities, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

Craig D. Hodges is the Chairman and an Investment Adviser Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

Craig D. Hodges is the Chairman of Hodges Capital Holdings, Inc., the parent company of First Dallas Securities, Inc. and Hodges Capital Management, Inc.

Craig D. Hodges is also a Board Member for Methodist Healthcare Foundation, Marketplace Ministries, TCA Investments Committee, and Treasure Street.

Craig D. Hodges is a Partner in HCCC, Inc., Gordonville Partners, LTD and Basin Springs Properties LTD, all of which are family partnerships.

Craig D. Hodges is an investor in VLSIP Technologies, Crown Scurry #1 JV, Crown Scurry #24 JV, Unequal Technologies, Oil/Gas Leases in West Texas, Vapogenix Inc., Caddis Healthcare Real Estate, RVSPOTFINDER.com LLC, Addie MLP fund, Teresa's House Real Estate Craig Ranch LLC, Mostly Mosley LLC, and Project 832, all of which are privately held companies.

### **Item 5: Additional Compensation**

As our responsibility to clients, Craig D. Hodges at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.

### **Item 6: Supervision**

Craig D. Hodges is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A



2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

**Gary M. Bradshaw**

2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 1389924

**September 2018**

This Brochure Supplement provides information about Gary M. Bradshaw that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Gary Bradshaw is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Gary M. Bradshaw, born 1956, graduated in 1978 from Virginia Tech in Blacksburg, VA where he received his Bachelor of Science ("B.S.") degree in Forestry. He later in received his Master of Business Administration ("M.B.A") degree in Business from East Texas State University in Commerce, TX in 1983.

### **Professional Licenses:**

Gary M. Bradshaw does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	December 2007	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	January 2001	Current	Sr. V.P. & Investment Advisor Representative
First Dallas Securities, Inc.	February 1990	Current	Sr. V.P., Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Gary M. Bradshaw does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Gary M. Bradshaw is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may results in a commission being paid.

Gary M. Bradshaw is also an Investment Advisor Representative for First Dallas Securities, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

## **Item 5: Additional Compensation**

As our responsibility to clients, Gary M. Bradshaw at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.

**Item 6: Supervision**

Gary M. Bradshaw is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

**Item 7: Requirement for State-Registered Advisers**

N/A



2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

**Lee Quincy  
Bradshaw**  
2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 6788372

**January 2020**

This Brochure Supplement provides information about Lee Quincy Bradshaw that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Lee Bradshaw is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Lee Quincy Bradshaw, born 1992, graduated in 2016 from Texas State University in San Marcos, TX where he received his degree in Finance.

### **Professional Licenses:**

Gary M. Bradshaw does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
First Dallas Securities, Inc.	January 2017	Current	Registered representative
Hodges Capital Management, Inc.	January 2017	Current	Advisory Agent
Texas State University	August 2015	December 2016	Student
JDock Charters	May 2015	August 2015	Employee

## **Item 3: Disciplinary Information**

Lee Quincy Bradshaw does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Lee Quincy Bradshaw is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may result in a commission being paid.

## **Item 5: Additional Compensation**

As our responsibility to clients, Lee Quincy Bradshaw at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.



**Item 6: Supervision**

Lee Quincy Bradshaw is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

**Item 7: Requirement for State-Registered Advisers**

N/A

# HODGES



## CAPITAL

2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

Robin A. Lott  
2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 5283984

**January 2020**

This Brochure Supplement provides information about Robin A. Lott that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Robin Lott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Robin A. Lott, born 1983, graduated in 2008 from University of Texas at Dallas with a Bachelor of Arts (B.A.) degree in Major Business and Psychology.

### **Professional Licenses:**

Robin A. Lott does have a professional license. Robin has a General Lines Insurance license. The Insurance license allows her to sell health, dental, disability and traditional life insurance products. Insurance licenses are issued by all states. Each insurance department establishes the required qualifications for this license.

### **General Requirements:**

- An individual applying for a Texas insurance license must be at least 18 years of age
- Applicants are not required to be sponsored to pursue their license
- Applicants must submit fingerprints as part of the license application
- Texas has no pre-licensing education requirement unless a 90-day temporary license is desired. To obtain a 90-day temporary license, candidates must complete 40 hours of pre-licensing education

Candidates must apply for licensure within 12 months from receiving a passing grade on the examination.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
First Dallas Securities, Inc.	June 2019	Current	Registered representative
Hodges Capital Management, Inc.	June 2019	Current	Advisory Agent
504 Bar and Grille	March 2019	June 2019	Bartender
Clare Market Investments, LLC	September 2018	March 2019	Partner
Oppenheimer & Co. Inc.	January 2016	October 2018	Registered representative
504 Bar and Grille	October 2015	January 2016	Bartender
Bank of America	May 2012	October 2015	Employee
Merrill Lynch Pierce, Fenner & Smith	August 2011	October 2015	Registered representative

## **Item 3: Disciplinary Information**

Robin A. Lott does not have a disciplinary event disclosed on her registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

#### **Item 4: Other Business Activities**

Robin A. Lott is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, she may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that she recommends that you invest in a security which may result in a commission being paid.

Robin A. Lott has a General Lines Insurance license and provides insurance services. She receives commissions based on the sales of these insurance products. This presents a conflict of interest to the extent that she recommends the purchase of an insurance product which results in a commission being paid to her as an insurance agent.

#### **Item 5: Additional Compensation**

As our responsibility to clients, Robin A. Lott at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.

**Item 6: Supervision**

Robin A. Lott is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

**Item 7: Requirement for State-Registered Advisers**

N/A

# HODGES



## CAPITAL

2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

### **Robert J. Woodard**

2905 Maple Ave.  
Dallas, TX 75201  
888-878-4426  
CRD # 1264549

**September 2018**

This Brochure Supplement provides information about Robert J. Woodard that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Robert J. Woodard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Robert J. Woodard, born 1959, graduated in 1982 from Baylor University in Waco, TX, where he received his Bachelor of Arts ("B.A.") degree in Finance/Marketing.

### **Professional Licenses:**

Robert J. Woodard does not have any professional licenses.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc.	November 1999	Current	Sr. Vice President & Investment Advisor Representative
First Dallas Securities, Inc.	February 1990	Current	Sr. Vice President & Registered Representative

## **Item 3: Disciplinary Information**

Robert J. Woodard does have a disciplinary event that is disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual investment advisor representatives, investment advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Robert J. Woodard is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may results in a commission being paid.

Robert J. Woodard is also an Investment Advisor Representative for First Dallas Securities, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

## **Item 5: Additional Compensation**

As our responsibility to clients, Robert J. Woodard at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.

**Item 6: Supervision**

Robert J. Woodard is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

**Item 7: Requirement for State-Registered Advisers**

N/A



# HODGES



## CAPITAL

2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

### **Eric J. Marshall**

2905 Maple Ave.  
Dallas, TX 75201  
888-878-4426  
CRD # 2981115

**September 2018**

This Brochure Supplement provides information about Eric J. Marshall that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Eric J. Marshall is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Eric J. Marshall, born 1973, graduated in 1997 from West Texas A & M University in Canyon, TX, where he received his Bachelor of Arts (“B.A.”) degree in Finance.

### **Professional Licenses:**

Eric J. Marshall is a Chartered Financial Analyst (“CFA”). The Chartered Financial Analyst is a professional designation given by the CFA Institute (formerly AIMR) that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis. The CFA Institute is a global, not-for-profit organization comprising the world’s largest association of investment professionals. With over 100,000 members, and regional societies around the world and is dedicated to developing and promoting the highest educational, ethical, and professional standards in the investment industry.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	December 2007	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	November 2001	Current	President & Investment Advisor Representative
First Dallas Securities, Inc.	July 2005	Current	Vice President & Registered Representative

## **Item 3: Disciplinary Information**

Eric J. Marshall does have a disciplinary event that is disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Eric J. Marshall is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may results in a commission being paid.

## **Item 5: Additional Compensation**

Eric J. Marshall does not receive any economic benefits for providing advisory services from

someone who is not a client of Hodges Capital Management, Inc. that has not already been disclosed in this Brochure.

**Item 6: Supervision**

Eric J. Marshall is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

**Item 7: Requirement for State-Registered Advisers**

N/A

# HODGES



## CAPITAL

2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

### **Christopher R. Terry**

2905 Maple Ave.  
Dallas, TX 75201  
888-878-4426  
CRD # 4588240

**September 2018**

This Brochure Supplement provides information about Christopher R. Terry that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Christopher R. Terry is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Christopher R. Terry, born 1979, graduated in 2002 from the University of Dallas in Irving, TX, where he received his Bachelor of Arts (“B.A.”) degree in Economics.

### **Professional Licenses:**

Christopher R. Terry is a Chartered Financial Analyst (“CFA”). The Chartered Financial Analyst is a professional designation given by the CFA Institute (formerly AIMR) that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis. The CFA Institute is a global, not-for-profit organization comprising the world’s largest association of investment professionals. With over 100,000 members, and regional societies around the world and is dedicated to developing and promoting the highest educational, ethical, and professional standards in the investment industry.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	December 2013	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	January 2014	Current	Investment Advisor Representative
Hodges Capital Management, Inc.	July 2007	Current	Senior Research Analyst
First Dallas Securities, Inc.	March 2002	Current	Registered Representative

## **Item 3: Disciplinary Information**

Christopher R. Terry does not have any disciplinary information to disclose.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry’s registration and licensing process.

## **Item 4: Other Business Activities**

Christopher R. Terry is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may results in a commission being paid.

### **Item 5: Additional Compensation**

Christopher R. Terry does not receive any economic benefits for providing advisory services from someone who is not a client of Hodges Capital Management, Inc. that has not already been disclosed in this Brochure.

### **Item 6: Supervision**

Christopher R. Terry is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A



2905 Maple Avenue  
Dallas, TX 75201  
P: 888.878.4426 | F: 214.954.1281  
IARD # 110080

**Derek R. Maupin**  
2905 Maple Ave. Dallas, TX 75201  
888-878-4426  
CRD # 5288843

**September 2018**

This Brochure Supplement provides information about Derek R. Maupin that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

**Additional information about Derek R. Maupin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Derek R. Maupin, born 1981, graduated in 2004 from West Texas A & M University in Canyon, TX, where he received his Bachelor of Business Administration (“B.B.A.”) degree in Finance and received his Master of Business Administration (“M.B.A”) degree in Management in 2005.

### **Professional Licenses:**

Derek R. Maupin does not have any professional designations.

### **Business Background:**

<b>EMPLOYEE</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	December 2013	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management	March 2009	Current	Investment Advisor Representative
First Dallas Securities, Inc.	February 2011	August 2013	Investment Advisor Representative
First Dallas Securities, Inc.	April 2010	Current	Registered Representative
Edward Jones	February 2007	February 2009	Investment Advisor Representative & Registered Representative
BP America	March 2006	January 2007	Health & Safety Technician

## **Item 3: Disciplinary Information**

Derek R. Maupin does not have any disciplinary information to disclose.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry’s registration and licensing process.

## **Item 4: Other Business Activities**

Derek R. Maupin is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may result in a commission being paid.



### **Item 5: Additional Compensation**

Derek R. Maupin does not receive any economic benefits for providing advisory services from someone who is not a client of Hodges Capital Management, Inc. that has not already been disclosed in this Brochure.

### **Item 6: Supervision**

Derek R. Maupin is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A